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PTO/SB/21 (09-04)

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GEMARIN	Application Number	ollection of information unless it displays a valid OM 10/712,495	
TRANSMITTAL	Filing Date	November 13, 2003	
FORM	First Named Inventor	Frank JANSEN	
	Art Unit	1762	
(to be used for all correspondence after initial fili	Examiner Name	Howard E. Abramowitz	
Total Number of Pages in This Submission	21 Attorney Docket Number	M02A430	

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	ENCLOSURES (Check all that apply)											
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT												
Firm N	ame	The B	OC Group, Inc	D. 7								
Signati	ure	£ £	JMM,	H	E/		<i>&gt;</i>					
Printed	l name	David	A. Hey									
Date	Date December 19, 2005 Reg. No. 32,351											
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Typed	Typed or printed name Kimberly S. Brown Date December 19, 2005								Date	December 19, 2005		

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Attorney Docket: M02A430 Serial No.: 10/712,495 Filed: November 13, 2003 Confirmation No.: 8327

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Si Kimberly S. Brown	gnature
Typed or printed name of	of person signing Certificate
	908-771-1729
Registration Number, if applicable	Telephone Number

Itemized Listing of Attachments:

Transmittal Form (PTO/SB/21), Information Disclosure Statement (2 pages, in duplicate), PTO/SB/08A&B, Two (2) references (AF-AG), and Return Receipt Postcard

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## IE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Frank JANSEN

Serial No.: 10/712,495 Filed: November 13, 2003

Examiner: Howard E. Abramowitz Group Art Unit: 1762
Attorney Docket: M02A430 Confirmation No.: 8327

Title: Atomic Layer Deposition Process and Apparatus

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

SIR:

## **INFORMATION DISCLOSURE STATEMENT**

The Applicant respectfully requests that the following references be considered in the examination of the above-identified application. A copy of each non-US patent reference is enclosed. If any of these references were uncovered by an international or foreign search as part of a counterpart application filed by the Applicant, then a copy of the search report is also enclosed.

Under rule 37 C.F.R. 1.98(a) (effective March 16, 1992), for those above-cited references that are in the English language, the Applicant submits that no specific comments are necessary for any of these.

Lastly, in accordance with Rule 37 C.F.R. §§ 1.97(e)(1), the undersigned attorney certifies that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to filing this statement. In this regard, the communication from the European Patent Office is dated November 24, 2005 and forwarded the European Search Report; a copy of this communication and, as noted above, the search report is enclosed herewith.

For the Examiner's convenience, the Applicant has attached a completed Form PTO/SB/08A&B.

Respectfully submitted,

The BOC Group, Inc. 575 Mountain Avenue Murray Hill, NJ 07974 Phone 908-771-6385

Fax: 908-771-6159

David A. Hey

Registration No. 32,351 Attorney for Applicants

Date: December 19, 2005

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Substitute for form 1449/PTO	Complete if Known			
	Application Number	10/712,495		
INFORMATION DISCLOSURE	Filing Date	November 13, 2003		
	First Named Inventor	Frank JANSEN		
STATEMENT BY APPLICANT	Art Unit	1762		
(Use as many sheets as necessary)	Examiner Name	Howard E. Abramowitz		
Sheet 1 of 2	Attorney Docket Number	M02A430 \		

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code <sup>2 (f known)</sup>	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	AA	<sup>US-</sup> 4,058,430	11-15-1977	Suntola et al	
	AB	<sup>US-</sup> 2002/0164420	11-07-2002	Derderian et al	
	AC	US- 2003/0013320	01-16-2003	Kim et al	
	AD	<sup>US-</sup> 2003/0203626	10-30-2003	Derderian et al	
	AE	<sup>US-</sup> 2003/0213435	11-20-2003	Okuda et al	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	<b> </b>	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	,,	Or Relevant Figures Appear	T <sup>6</sup>	
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Examiner		Date	
Signature		Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	AF	D.M. HAUSMANN ET AL, "Atomic Layer Deposition of Hafnium and Zirconium Oxides Using Metal Amide Precursors", CHEM. MATER., vol. 14, no. 10, American Chemical Society, 2002, pages 4350-4358.	
	AG	European Search Report (4 pages) dated November 24, 2005.	
-			

Examiner	Date	
Signature	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.

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